

Question 1: Please detail what roles require pensions experience in the new MaPS organisational structure and, if possible, the proportion of MaPS staff that currently have CII or other relevant pensions qualifications.

| PENSIONS STAFF QUALIFIED ROLES | | | |
|---|--|--|--|
| Pensions Operations | | | |
| Head of Pensions Operations | Pensions Operations Manager | | |
| Technical Specialist (Shift Supervisor) | Technical Specialist (Quality Assurance & Technical) | | |
| Technical Specialist | Assistant Technical Specialist | | |
| Pensions Policy | | | |
| Head of Pension Policy & Strategy | Senior Policy & Strategy Managers | | |
| Policy & Strategy Managers | | | |



Question 2: Please provide figures for the number of complaints received by MAPS, by month, since launch. Where possible, please categorise these into the type of complaint received. Please also state where any redress has been awarded or paid out or formal disciplinary action has been taken against a MAPS staff member, and which body is now responsible for this under the MAPS organisational structure.

| NUMBER OF COM | NUMBER OF COMPLAINTS | | | | |
|---|----------------------|-----------|---------|----------|----------|
| 2019: total 36 | | | | | |
| | February | March | April | Мау | June |
| 2 | 2 | 3 | 2 | 3 | 1 |
| July | August | September | October | November | December |
| 0 | 2 | 1 | 11 | 7 | 2 |
| | 2020: total 34 | | | | |
| January | February | March | April | May | June |
| 2 | 10 | 5 | 1 | 4 | 12 |
| July | August | September | October | November | December |
| 0 | 0 | 0 | 0 | 0 | 0 |
| Total Ex gratia payment(s) paid since 1 January 2019 £2,000 | | | | | |



| REASON FOR COMPLAINTS | | | | |
|-----------------------|------|------|--|--|
| Year | 2019 | 2020 | | |
| Customer Service | 12 | 20 | | |
| Communication | 11 | 6 | | |
| Accessibility | 2 | 3 | | |
| Timeliness | 8 | 3 | | |
| Corporate Information | 3 | 2 | | |
| Yearly total | 36 | 34 | | |



Question 3: Please provide figures for the number of financial advisers on the retirement adviser directory that was inherited from the Money Advice Service, by month, since MAPS was launched.

| 2019 | Firms Registered on Directory | Firms Visible to Public | Advisers Registered on Directory |
|-----------|-------------------------------|-------------------------|----------------------------------|
| January | 3239 | 2823 | 7134 |
| February | 3254 | 2835 | 7139 |
| March | 3268 | 2845 | 7155 |
| April | 3268 | 2841 | 7152 |
| May | 3361 | 2919 | 7388 |
| June | 3433 | 2982 | 7601 |
| July | 3513 | 3036 | 7807 |
| August | 3530 | 3046 | 7856 |
| September | 3549 | 3058 | 7867 |
| October | 3569 | 3078 | 7951 |
| November | 3592 | 3078 | 7951 |



| | 3604 | 3091 | 8028 |
|----------|------|------|------|
| 2020 | | | |
| January | 3613 | 3098 | 8075 |
| February | 3625 | 3108 | 8075 |
| March | 3646 | 3129 | 8176 |
| April | 3661 | 3140 | 8189 |
| Мау | 3671 | 3147 | 8243 |
| June | 3689 | 3164 | 8268 |
| July | 3681 | 3155 | 8290 |